

“Politics and Policy: Implications for Investors and Advisors”

Michael T. Townsend, Vice President for Legislative and Regulatory Affairs, handles a variety of policy issues for Charles Schwab & Co., Inc., and is also responsible for development and implementation of the firm’s public policy communications strategy. He speaks regularly at events around the country on how policy and politics affect investors.

Mike will discuss the latest developments in Washington and how they might impact investors, advisors and the markets. He'll focus on the looming battle over increasing the debt ceiling, the ongoing efforts to reduce the deficit, and the latest on tax reform, including the potential impact on estate and gift taxes. He'll also discuss the latest regulatory developments in areas like money market funds, investment adviser regulation, and high-frequency trading.

Mike analyzes legislative and regulatory proposals to determine how they would affect individual investors, retirement plan participants and investment advisers. As an advocate for individual investors, he then develops and executes strategies to communicate their interests to lawmakers, the media, employees and clients. He specializes in tax issues, mutual funds, retirement savings, charitable giving issues, market structure and financial literacy.

Mr. Townsend is a featured speaker at dozens of employee, client, adviser and industry events each year, where he discusses the impact of the political and policy environment in Washington on investors and the capital markets. He contributes legislative and political analysis articles to a variety of company publications, and records a quarterly video, “Washington Snapshot,” that is available on schwab.com.

Mike joined Schwab in April 2000 after nearly three years at Powell Tate, Inc., a Washington, D.C., public affairs firm, where he headed the Financial Services Legislative and Regulatory Issues group. He previously served as press secretary and legislative assistant to Senator Susan M. Collins (R-Maine), and was Collins’s spokesperson during her successful 1996 campaign for the Senate. From 1993-1996, Townsend was an aide to Senator William S. Cohen (R-Maine), serving as assistant press secretary and later as press secretary to the Senate Special Committee on Aging, which Cohen chaired. He earned his Bachelor of Arts degree in English from Bowdoin College in Brunswick, Maine, and a Master of Arts degree in English from George Mason University. He lives in Falls Church, Virginia, with his wife and three children.

May 15th, 2012

*Lafayette Park Hotel
3287 Mt. Diablo Blvd.
Lafayette, CA*

*6:00 P.M. Wine and Registration
6:30 P.M. Dinner and Speaker*

**Member Cost: \$45.00 dinner (preregister)
\$50.00 (at the door)**

**Guest Cost: \$50.00 dinner (preregister)
\$60.00 (at the door)**

*Reservations and cancellations must be received
by Monday, May 13th at 2:00 p.m.
to be assured of a reservation.*

Dinner Menu

Salad

*Arugula salad with almonds and strawberries
with champagne vinaigrette*

Entree

*Pork tenderloin, potato puree, crispy onions,
BBQ demi glaze, blue lake green beans*

Dessert

Raspberry Mouse with cream and berries

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Questions? Call Krysta at 925.935.9691